

SUMMARY OF WRITINGS RELATING TO ISSUES OF CONCERN TO CAPT. DAVID TUMA

	A	B	C	D
1	DATE	FROM/FORM OF COMM.	RECIPIENT	SUBJECT
2	2008-06-10	Tuma letter	Assn	Requesting copies of 2006-07 Fed return and appl tax exempt status.
3	2008-06-20	Tuma email	CFO	Emails between Tuma and CFO re contribution to foundation.
4	2008-07-06	Tuma letter	Assn/CFO	Requesting copy of application for tax-exempt status.
5	2008-07-14	Anonymous ltr to Regulator	State of WA Secretary of State Charities Program	Requesting determination that as a volunteer soliciting funds for the Fdn he/she is not breaking WA statutes.
6	2008-07-15	Tuma letter	Fdn and Assn Chairmen and BOD	Re compliance issues.
7	2008-07-22	McGladrey/Hemelt	Assn/CFO	Responding to Assn request for comment re consistency of audited consolidated financial statements and Forms 990. Restates opinion letter: consolidated financial statements present fairly in all material respects financial position for year ended June 30, 2007 in conformity with accounting principles accepted in USA.
8	2008-07-30	Weeks letter	McGladrey/Hemelt	Enclosing 3 docs sent to Fdn and Assn Board: (i) Tuma's letter to Trustees re concerns of their financial interaction; (ii) Weeks doc to Fdn and Assn Board re their Operating Agreement; (iii) Weeks doc to both boards re inappropriate for two corps to publish consolidated financial statement.
9	2008-07-31	Association & Foundation	Board Members	Overview of Assn's response to Tuma's July 15, 2008 letter.
10	2008-08-31	Tuma letter	Fdn and Assn BOD	Apologizing for allegations and accusations and withdraws July 15 letter.
11	2008-09-05	Assn & Fdn letter	Tuma	Acknowledges receipt of Tuma's 2008-08-31 letter; restores Tuma's name to distribution list for class president communications.
12	2008-11-01	Tuma letter	Fdn/CFO	In response to CFO's 2008-10-28 letter. States it is clear that Dept of Washington State Charities desires confidentiality therefore not proper for Tuma to undermine their actions.
13	2008-11-17	Tuma email	Donovan and Smith	Re liability insurance for alumni events.
14	2009-01-29	IRS	Tuma	Responding to Tuma's 2008-10-06 request for Assn's tax exempt status docs.
15	2009-04-23	Tuma email	Vice Chair	Improving the Alumni Association.
16	2009-05-01	Executive Committee	Fdn and Assn BOD	Rocks and Shoals.
17	2009-05-08	Weeks ltr	BOD and Trustees	Transmitting Rocks and Shoals document.
18	2009-06-10	Tuma letter	Association	Requesting exact copy of Annual return for July 2007 to June 2008.
19	2009-06-10	Tuma letter	Foundation	Requesting exact copy of Annual return for July 2007 to June 2008.
20	2009-06-10	Tuma letter	Foundation	Requesting exact copy of requests since 1987 re tax exempt status.

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21	2009-06-20	Tuma email	Association	Requesting exact copy of requests since 1987 re tax exempt status.
22	2009-07-13	Tuma email	CFO	Re his communications with the IRS.
23	2009-07-13	CFO email	Tuma	Response to Tuma's request for IRS documents dating back to 1987.
24	2009-07-14	Tuma email	Association	Further request for IRS documents.
25	2009-07-14	Tuma letter	Foundation	Further request for IRS documents.
26	2009-07-17	Foundation CFO	Tuma	Response to Tuma's 7/14 letters.
27	2009-07-20	Tuma letter	Foundation CFO	Seeking exact copies of IRS documents.
28	2009-07-29	Fdn CFO	Tuma	Response to various inquiries re: IRS documents.
29	2009-08-03	Tuma letter	Foundation CFO	Impasse re his request for IRS documents dating back to 1987.
30	2009-08-03	Tuma letter	Association CFO	Impasse re his request for IRS documents dating back to 1987.
31	2009-08-19	Assn letter	Tuma	Enclosing Assn letter to IRS requesting IRS provide all docs in file which must be made public.
32	2009-08-19	Assn CFO letter	IRS	Responding to Tuma's inquiries to the IRS.
33	2009-08-21	Tuma letter	Association CFO	Acknowledged receipt of Assn letter to IRS; stated Assn's letter contained errors. Requested copy of Assn's request for exemption letter to which IRS issued an exempt determination letter in January 2003 IRS.
34	2009-10-08	Tuma		"Result of Letter I wrote to Assn and Fdn Board Members."
35	2009-10-28	Tuma email	Robert Parsons	Re Parsons' letter in VA registration material certifying established identity between Academy and Foundation and requesting clarification re Fdn ceasing to exist if Academy ceases to exist. [emailed 10/28 - dated at bottom 10/29]
36	2009-10-28	Tuma email	Robert Parsons	Re conditions of demise of Fdn; purpose of Fdn in Articles of Incorporation do not limit Fdn to only support Academy.
37	2009-12-05	Tuma letter	Association and Foundation	Operating Agreement between Association and Foundation.
38	2009-12-10	Assn Report		"Rocks and Shoals" Ad Hoc Committee Report.
39	2009-12-10	CFO email	Chairs	Tuma letters dated 2009-12-05.
40	2009-12-11	Tuma email	Trustee Andres	Processing donations.
41	2009-12-16	Tuma letter	Foundation - Association	Re his contact with American Institute of CPA re filing Consolidated Financial Statement.
42	2009-12-31	Tuma email	Trustees Andres and Cooke	Financial reports.
43	2010-01-21	Tuma letter	Association	Re Consolidated Financial Statement and IRS 990s.
44	2010-01-21	Tuma letter	Foundation	Re Consolidated Financial Statement and IRS 990s.
45	2010-01-31	Tuma letter	Assn Chair Abbot	Misappropriation of Donations to The Class of 1964 Ethics Center Fund.

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46	2010-01-31	Tuma letter	Foundation VADM Ryan	Misappropriation of Donations to The Class of 1964 Ethics Center Fund.
47	2010-02-15	Tuma letter	Association	Seeking Form 990.
48	2010-02-15	Tuma letter	Foundation	Seeking Form 990.
49	2010-02-17	Assn letter	Tuma	Re Feb 4 Joint Finance and Audit Committee Meeting review and response to Tuma's 12/5 and 12/16 letters.
50	2010-02-17	Assn/CFO letter	Tuma	Responding to Tuma's January 31 letter re The Class of 1964 Ethics Center fund suggesting donations were used for other purposes.
51	2010-02-22	Tuma letter	Association Chairman Abbot	Assn CFO's response to his 2010-01-31 letter to VADM Ryan re donations: did not address issues or answer his questions.
52	2010-02-22	Tuma letter	Foundation VADM Ryan	Assn CFO CFO's response to his 2010-01-31 letter to VADM Ryan re donations: did not address issues or answer his questions.
53	2010-03-02	Assn letter	Tuma	Response to Tumas Feb 22 letter.
54	2010-03-02	Fdn letter	Tuma	Response to Tuma's Feb 22 letter.
55	2010-03-06	Tuma letter	Foundation BOD	Bring to Board's attention fiduciary oversight responsibilities of Assn and Fdn; that Assn and Fdn reply to his specific questions in Att A; Assn answer Att B questions; a thorough review by all Directors and Trustees of errors and omissions source docs is required.
56	2010-03-15	Tuma letter	Association	Seeking IRS correspondence.
57	2010-03-15	Tuma letter	Foundation	Seeking IRS correspondence.
58	2010-03-28	Tuma letter	Association CFO	Requesting copy of IRS 11/19/08 letter referencing tax determination letter issued in January 2003, along with Assn's submitted info.
59	2010-03-29	Tuma email	Association	Seeking 2003 IRS determination letter.
60	2010-04-02	Tuma email	CFO	Re contact information.
61	2010-04-06	Tuma email	Trustees Collins and Johnson	Allegations re Association's sale of Alumni database.
62	2010-04-24	Tuma email	Board Secretary	Tuma's personal information.
63	2010-04-25	CFO email	Tuma	Response to Tuma's 3/29 email.
64	2010-04-26	Tuma email	CFO	Information re IRS.
65	2010-04-28	Tuma Letter	Assn and Fdn Board Members	Irregularities in management of class funds.
66	2010-04-28	Tuma Att C		Titled Attachment C to letter dated 27[sic] April 2010 Questions concerning management problems that occurred "on my watch."
67	2010-04-29	CFO email	Class of 1964	Tuma's complaints about gift agreement.
68	2010-04-29	Tuma email	Board Secretary	Changing website.

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69	2010-04-29	Tuma email	Chairs	Claims re gift processing.
70	2010-05-03	Tuma email	CEO	Complaint about fees.
71	2010-05-23	Tuma email	Chapter	Seeking bylaws.
72	2010-08-06	Tuma email	Trustees Collins and Johnson	Inquiries re ownership of Association database.
73	2011-04-04	Tuma Letter	ADM Abbot & VADM Ryan	Problems with handling of private donations; to aid in efforts to bring handling of funds in line with Academy and other DoD directives.
74	2011-06-06	Letter	VADM Miller	Acceptance of gifts from donations collected in violation of states' laws.
75	2011-06-16	Tuma Letter	Assn and Fdn	Additional information re April 2011 letter: Fdn, Assn and USNA entered into an "arrangement."
76	2011-06-23	Emails	Board of Trustees and Tuma	Trustee elections
77	2011-06-26	Emails	Board of Trustees and Tuma	Trustee elections
78	2011-06-26	Board of Trustees	Tuma	Attachment to draft 6/26/11 email.
79	2011-06-30	Tuma Letter	Board of Trustees	Challenge to Central Region Trustee Election.
80	2011-07-03	Tuma email	Board of Trustees	Challenge to Central Region Trustee Election.
81	2011-08-23	CFO email	Tuma	Re Class of '64 gift agreement – responding to Tuma 8/6 and 8/9 emails.
82	2011-08-24	Tuma email	CFO	Re Class of '64 gift agreement – responding to CFO 6/23 email.
83	2012-06-18	Tuma letter	Assn Board of Trustees	Re troubling issues to be resolved – sending email to class president.
84	2012-06-19	Tuma email	ADM Natter/VADM Ryan	Concerns re certain actions of the Fdn and Assn.
85	2012-07-12	Tuma email	ADM Natter/VADM Ryan	Improve Assn Fdn opns - Gift giving
86	2012-07-19	Board Chairs	Trustees and Directors	Draft Note
87	2012-08-12	Tuma letter	Assn Board Members	Update to 06-18-12 letter with revised "Documentation for Email Statements" and additional references.
88	2012-08-12	Tuma letter	Fdn Board Members	Update to 06-18-12 letter with revised "Documentation for Email Statements" and additional references.
89	2012-09-05	JFAC ltr	Tuma	Result of JFAC 8-21-12 meeting re responding to Tuma letters.
90	2012-09-12	Tuma letter	Assn Board of Trustees	Re USNAAA 09-05-12 letter – Enclosing list of actions.
91	2012-09-12	Tuma letter	Fdn Board Members	Re USNAF 09-05-12 letter – Enclosing list of actions.
92	2013-06-25	Tuma email	Mid Atlantic Trustee	Re: Administrative Fee Increase.
93	2013-07-01	Tuma email	Mid Atlantic Trustee	Re: Administrative Fee Increase.
94	2013-07-06	Tuma email	ADM Natter/VADM Ryan	Re effectiveness of funding through unrestricted giving.